**ISARIC/WHO Clinical Characterisation Protocol UK – IRAS Ref. 279826**

**SUMMARY** **INFORMATION SHEET & VERBAL (TELEPHONE) CONSENT FORM FOR GUARDIAN, WELFARE ATTORNEY OR CLOSEST FAMILY MEMBER**

14th April 2020. Version 3.0

Local lead investigator: [\*\*\*local\_investigator\_name\*\*\*]

***This summary information sheet should be used by researchers taking verbal consent from a guardian, welfare attorney or closest family member by telephone. The researcher should introduce themselves and explain the purpose for the telephone call. The discussion should cover the content detailed below. Give the guardian, welfare attorney or closest family member the opportunity to ask questions.***

We are undertaking a research study involving people with infections due to emerging pathogen. We are asking you about the participation of an individual who is not able to consent for themselves, because he/she lacks the legal capacity to do so. To help decide if he/she should join the study, we would like to ask your view on whether or not you consider he/she would wish to be involved but before you decide it is important for you to understand why the research is being done and what it would involve for the participant.

Please take time to read this information carefully. One of our team will go through the information with you. Please ask us if there is anything that is not clear or if you would like more information and time to decide. Your decision is completely voluntary. The decision you make **will not** affect the participant's care or treatment in any way. When deciding, please put aside your own feelings and wishes and consider what the past and present feelings and wishes of the person you are consenting on behalf of would have been, had they been able to consent for themselves.

## What is this study about?

We need to find out more about how infections affect people. By studying the patient’s case, we hope to find better ways to diagnose and manage people with this and similar conditions.

## What will happen if they take part in this study?

We will collect information about the patient, including other medical problems they may have, the medicines they take, the treatment they receive and the results of tests they have.

In addition to samples normally taken as part of their medical care, other samples will be collected as well. This will include blood, mouth, nose or throat swabs or suction samples, swabs from any infected site, a sputum sample (if they are coughing up mucus), urine and stool (faeces or ‘poo’). Additional blood samples would be up to 39mls (7 teaspoons) in volume.

We will take the same samples twice more over the next two weeks. We will also ask if they are willing to return 28 days after discharge for a further set of samples.  Each blood sample will take 42.5mls (7 teaspoons) or less (depending on the patient’s weight). If specialist breathing support is required, we will take samples of lung fluid for analysis.

All of these samples are voluntary. The patient or you as their consultee can them withdraw from the study at any time, and don’t need to give a reason for this.

## What will happen to their information?

All information about the patient will remain confidential. Their name and other personal details will not appear in any report, but we will share the results of analyses widely. We will record their Community Health Index, date of birth and postcode (to anonymously link study results to information in electronic medical records) and telephone number (to arrange follow-up samples). The work we do with your data is ‘a task in the public interest’. The way their data is used is carefully regulated by UK law. *We will keep the minimum personally identifiable information about the participant indefinitely for safety reasons and because it is a valuable record of this outbreak event.* For more information on how we process and protect data, please see the full information sheet or visit [**www.isaric4c.net/privacy**](http://www.isaric4c.net/privacy)**.**

## What will happen to their samples?

We will use the samples to discover how they respond to infection, how treatments work and to develop new tests or treatments. As part of this, we will analyse their genetic information (DNA) to discover why people respond differently to infections.

We will store their samples and use them for future ethically approved medical studies in the UK or elsewhere. We might use their samples to manufacture tests, treatments or other materials, including commercial products.

## What are the benefits to taking part in this study?

There is no direct benefit to participants, but the research may help others.

## What are the risks?

There is a small risk from taking the samples. Whenever possible, the samples will be taken at the same time as samples necessary for their medical care. The main drawback of donating samples is the slight discomfort or pain when samples are taken. Risk of lung damage during bronchoscopy is <1%.

We are doing genetic (DNA) tests to understand how genes affect infections. The results of these tests won’t affect their medical care and we will not tell them the results from these tests.

## Can I request that they be withdrawn from the study?

The patient or you as their consultee can withdraw from the study at any time without giving a reason and without affecting their care. Any samples that have not already been analysed can be destroyed, if you request this.

## Will their samples be used for future research?

We would like to keep the patient’s contact details after the study is complete so we may ask if they are willing to participate in future studies. This is entirely optional. Their contact details would be stored electronically on a secure computer system separately from the study data. You or they can ask us to have these contact details removed from our database at any time.

## Where can I find more information?

If you would like more information about the study, you can contact the Local Investigator at the patient’s hospital **[\*\*\*local\_investigator\_name\*\*\*]** or telephone the Local Research office on **[\*\*\*local\_research\_office\_phone\_number\*\*\*].** If you would like to discuss this study with someone independent of the study team please contact: [\*\*independent\_contact\_name\*\*] on: [\*\*independent\_contact\_phone\*\*] or email: [\*\*independent\_contact\_email\*\*]. If you would like to know about the progress of the study or if the results of the study, you can visit our website for participants at <http://isaric.net/ccp/uk/info/>

There may be opportunities for the patient to attend events relating to the study or to join a panel of research participants who can make further contributions to this research and future research studies. We will post information about any such events on the participants’ website.

## Who is legally responsible for this study?

All UK research needs a ‘Research Sponsor’, which in this case is the University of Oxford. The University of Oxford, as Sponsor, has appropriate insurance in place in the unlikely event that the patient suffers any harm as a direct consequence of their participation in this study. NHS indemnity operates in respect of the clinical treatment which is provided. The data and materials related to this study may be inspected by regulatory authorities, including the Research Sponsor, NHS Trust(s) or public health agencies in the UK. This study has been reviewed and given a favourable opinion by the **Scotland A Research Ethics Committee (Ref 20/SS/0028).**

**Who do I complain to if I am unhappy about any part of this research study?**

If you wish to complain about the way in which you or the patient have been approached, treated, or how information is handled for this study, you should contact **[\*\*\*local\_investigator\_name\*\*\*] [\*\*\*local\_contact\_details\*\*\*]** or you may contact the University of Oxford Clinical Trials and Research Governance (CTRG) office on 01865 616480, or the head of CTRG, email [ctrg@admin.ox.ac.uk](mailto:ctrg@admin.ox.ac.uk).

NHS indemnity covers the clinical treatment with which is provided.

The Patient Advisory and Support Service (PASS) is a confidential service provided by the Citizens Advice Bureaux in Scotland. It can provide you with support for any complaints or queries you may have regarding the care you receive as an NHS patient. PASS is unable to provide information about this research study.

**PARTICIPANT ID: \_\_\_ \_\_\_ \_\_\_ \_\_\_ \_\_\_ \_\_\_ \_\_\_ \_\_\_ \_\_\_**

**GUARDIAN, WELFARE ATTORNEY OR CLOSEST FAMILY MEMBER TELEPHONE CONSENT FORM**

14th April 2020. Version 3.0

Local lead investigator: **[\*\*\*local\_investigator\_name\*\*\*]**

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| THE RESEARCHER SHOULD MARK THEIR INITIALS AGAINST EACH STATEMENT THAT IS CORRECT: | |
| I have discussed the content of the summary information sheet dated 14th April 2020 Version 3.0 with the participant’s guardian, welfare attorney of closest family member. They understand the information and have had the opportunity to ask questions about it. |  |
| The guardian, welfare attorney or closest family member understands that the participant’s participation is voluntary and that the participant is free to withdraw from the study at any time, without giving any reason and without the participant’s medical care or rights being affected. |  |
| The guardian, welfare attorney or closest family member understands that data and samples **including DNA** from the participant will be used in this study. |  |
| The guardian, welfare attorney or closest family member understands that medical records and data collected during the study could be examined by the Research Sponsor (the University of Oxford), by regulatory authorities, representatives of the NHS Trust(s) or public health agencies who oversee this research. |  |
| The guardian, welfare attorney or closest family member understands that a copy of this consent form which will include the participant’s name, address and phone number will be sent to the central study team (where it will be kept in a secure location), to allow confirmation that consent was given and administration of the study. |  |
| **It is the guardian, welfare attorney or closest family member’s consideration that the participant would be happy to participate in this research study.** |  |
| The guardian, welfare attorney or closest family member understands that the participant’s **data and samples may be used for other unrelated ethically- approved research in the UK or elsewhere.**  Or if they think that the participant would not want this tick here ❑ |  |
| The guardian, welfare attorney or closest family member understands that samples taken from the participant, or materials or data derived from those samples, may be used to **manufacture tests, treatments or other products, including commercial products.**  Or if they think that the participant would not want this tick here ❑ |  |
| The guardian, welfare attorney or closest family member understands that de-identified data and results of analyses, including the whole sequence of the participant’s DNA and RNA, **will be shared with other scientists, including those in other countries**.  Or if they think that the participant would not want this tick here ❑ |  |
| The guardian, welfare attorney or closest family member understands that the participant may to be **contacted by the investigators to be invited to participate in future research studies.**  Or if they think that the participant would not want this tick here ❑ |  |

Name of participant (PLEASE PRINT): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name of person providing consent (PLEASE PRINT): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Relationship to participant: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Date: \_\_ \_\_ / \_\_ \_\_ \_\_ /\_\_ \_\_ \_\_ \_\_

Person taking verbal advice from consultee (PLEASE PRINT: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Research team member or health professional trained in taking consent for this study)

Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_ \_\_ / \_\_ \_\_ \_\_ /\_\_ \_\_ \_\_ \_\_

**Witness declaration:** I have spoken to the person providing consent by telephone and I attest that the information concerning this research was accurately explained to them in language they could understand, and that informed consent was given freely by them.

Name of witness (PLEASE PRINT):\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_ \_\_ / \_\_ \_\_ \_\_ /\_\_ \_\_ \_\_ \_\_

Contact details of participant

Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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Contact details of person providing consent

Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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